

# Side-Effect Analysis with Fast Escape Filter

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## ABSTRACT

Side-effect analysis is a fundamental static analysis used to determine the memory locations modified or used by each program entity. For the programs with pointers, the analysis can be very imprecise. To improve the precision of side-effect analysis, many approaches design more elaborate background pointer analyses in order to obtain smaller side-effect sets, but very few approaches consider to achieve better precisions by refining the side-effect analysis algorithms themselves. To address the problem, this paper presents a new side-effect analysis approach that uses Gay and Steensgaard's fast escape analysis to filter superfluous side-effects. The approach does not need to modify the background pointer analysis and can filter side-effects in the intraprocedural level and the interprocedural level. The experimental results show that it can effectively improve the analysis precision within a short extra time.

## Categories and Subject Descriptors

D.3.4 [Programming Languages]: Processors – Compilers.

## General Terms

Algorithms, Experimentation, Languages.

## Keywords

Side-effect analysis, escape analysis, points-to analysis

## 1. INTRODUCTION

Side-effect analysis is a fundamental static analysis used by many software engineering tools to determine the memory locations modified or used by each program entity (e.g., method). For Java programs, it highly depends on pointer information in order to resolve the indirect memory accesses. The pointers also make the side-effect analysis difficult to be precise enough. To improve the precision of side-effect analysis, many approaches design more elaborate background pointer analyses [15] in order to obtain smaller side-effect sets [9, 16, 19, 24, 26, 30], but very few approaches consider to achieve better precisions by refining the side-effect analysis algorithms themselves. We believe improving the side-effect analysis algorithms is also very important, because it can add extra precision to the analysis and focusing on the side-effect analysis algorithms themselves also can let the pointer analysis and side-effect analysis be evolved independently.

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SOAP'12, June 14, 2012, Beijing, China.

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For the improving of the side-effect analysis algorithms, in our previous work [23], we have used a lazy access path resolving technique to successfully improve the precision of side-effect analysis under an inclusion-based context-insensitive points-to analysis. Besides this approach, some other approaches [25, 27] also use escape analysis, a technique to determine whether an object can escape from its creation scopes, to improve the precision of side-effect analysis. However, they only use object-based escape analyses (e.g., [5, 6, 8]) which tag escape information to heap objects to refine side-effect analysis. No research has considered to use the more efficient variable-based escape analyses (e.g., [3, 14]), which tag escape information to local variables instead of heap objects, in side-effect computation, and no research has presented any experimental data to show how much improvement can be achieved with escape analysis. To address these problems, this paper proposes an approach that incorporates Gay and Steensgaard's fast variable-based escape analysis [14] in side-effect computation. The approach can use escape information to filter superfluous side-effects during intraprocedural side-effect collection and interprocedural side-effect propagation and thereby improve the side-effect analysis precision.

In the paper, we focus on the method level side-effect analysis problem. The approach firstly uses Spark inclusion-based context-insensitive points-to analysis [18] to compute the pointer information and uses Gay and Steensgaard's escape analysis to determine whether the objects accessed by each reference variable are local to the enclosing method. Then, collecting the intraprocedural side-effects and propagating the side-effects from the callee methods to the caller methods can obtain the final side-effects for each method. During the collection of each method's intraprocedural side-effects, all the object fields modified or used via the reference variables only accessing local objects will be filtered out from the side-effect sets. When propagating a callee's side-effects to a caller, a key issue is to filter out the modification or use effects on the objects that escape from the callee but do not escape from the caller. To filter out such superfluous side-effects, we represent a callee's side-effects as access paths starting from formal parameters, if possible. Then, such access paths can be mapped to the ones starting from actual arguments in the caller, and we can use the variable-based escape information in the caller to filter out the superfluous parts of these side-effects.

To validate the proposed approach, we conduct an experiment on several popular benchmark programs. The results show that the side-effect analysis with escape filter can effectively improve the analysis precision within a short extra time, especially when the class initialization calls and the finalize calls are ignored and the immutable types like `java.lang.Integer`, `java.lang.Float`, and `java.lang.String` are treated as build-in types. In the latter case, the newly proposed approach can achieve about 25.3% precision improvement for the total side-effects, in which the analysis precision for the modification effects can averagely be improved by 34.3% and the analysis precision for the use effects can averagely be improved by 14.4%.

The rest of the paper is organized as follows. Section 2 presents a motivating example to show the problems in side-effect analysis. Section 3 introduces the newly proposed side-effect analysis method. Section 4 is the experimental study. Finally, we discuss the related work and conclude the paper.

## 2. A MOTIVATING EXAMPLE

This section presents a motivating example to show why we need escape information in side-effect analysis and how the escape information can be used.

class C{		void bar(){	
int i;	5	C d = new C(); // O <sub>2</sub>	
}	6	foo(d);	
		}	
void foo(C a){		void zar(){	
1  C b = new C(); // O <sub>1</sub>	7	.....	
2  b.i = 1;	8	C e = new C(); // O <sub>3</sub>	
3  C c = a;	9	foo(e);	
4  c.i = b.i;		bar();	
		.....	
}		}	

Figure 1. A motivating example

In Figure 1, suppose the objects created by statements 1, 5, and 7 are modeled into abstract objects  $O_1$ ,  $O_2$ , and  $O_3$ , respectively. Then, with points-to analysis, we will find that the location accessed by access path  $b.i$  is  $O_{1.i}$ , while the locations accessed by access path  $c.i$  could be  $O_{2.i}$  or  $O_{3.i}$ . Without knowing whether an object's lifetime exceeds its creation method, it is very difficult to determine whether an object is visible outside a method. Therefore, in a normal side-effect analysis, all the reads or writes to  $O_1$ ,  $O_2$ , and  $O_3$  will be considered having out-visible use or modification effects. For method `foo`, we will obtain a modification effect set  $\{O_{1.i}, O_{2.i}, O_{3.i}\}$  and a use effect set  $\{O_{1.i}\}$ . For method `bar`, its side-effects include the ones in method `foo`. Because there is no intra-procedural modification or use effect in `bar`, `bar`'s side-effects are just the same as that of method `foo`. According to such side-effect sets, we will find that there are define-use relationships between statements 8 and 9. Such relationships will forbid some compiler optimizations that need to switch the order between these two statements.

In fact, the above side-effect sets are safe but over-conservative. In the program of Figure 1, the lifetime of object  $O_1$  does not escape from method `foo`, and the lifetime of object  $O_2$  does not escape from method `bar`. For method `foo`, object  $O_1$  is not visible outside the method, and hence its modification or use effects do not contain  $O_{1.i}$ . For method `bar`, since all the objects accessed inside it are not out-visible, its side-effect sets are actually empty. With these side-effect sets, we can find that there is no real define-use relationship between statements 8 and 9. The two statements do not read or write the same locations, and hence their order can be safely switched.

To avoid the superfluous side-effects due to non-out-visible locations, this paper firstly uses escape analysis to identify whether the objects accessed by each local variable are local to the enclosing method. Then, if a modification or use is performed via a local variable that only accesses local objects, the modification or use will be filtered out during side-effect collection. For example, in Figure 1, with an escape analysis, we can find that variable `b` in method `foo` only accesses local objects. Therefore, the modifications or uses via `b.i` will not be put into the side-effect sets of `foo`. No use effect will be put into the use set, and only a modification effect `c.i` will be put into the modification set. After resolving the

access paths into locations, we can finally obtain two more precise side-effect sets  $\{O_{2.i}, O_{3.i}\}$  and  $\emptyset$  for method `foo`.

When propagating the side-effects from method `foo` into its caller `bar`, we shall note that object  $O_2$  is not visible outside `bar`, although it is visible outside `foo`. Therefore,  $O_{2.i}$  in the side-effect sets of `foo` should not be put into the side-effect sets of `bar`, i.e., a callee's side-effects should not directly be merged into its callers. To handle such cases, we firstly represent the side-effects with access paths on formal parameters as much as possible. For example, since `c.i` and `a.i` definitely access the same locations, the only out-visible modification via `c.i` in `foo` can be represented as  $\{a.i\}$ . Then, the access paths in the callee's side-effect sets will be mapped to the ones in the callers. `a.i` in method `foo` will be mapped to `d.i` in method `bar`. If the caller access paths access out-visible locations, these access paths will be put into the caller's side-effect sets. Otherwise, they will be discarded. In method `bar`, with escape analysis, we can find that variable `d` only accesses local objects. Therefore, the caller access path `d.i` will not be put into the modification effect set of method `bar`, and `bar`'s side-effect sets are empty. By filtering the side-effects of the callees in the callers, we can obtain more precise side-effects for the caller methods.

## 3. SIDE-EFFECT ANALYSIS WITH ESCAPE FILTER

This section firstly introduces the escape analysis used in the paper. Then, we present the concept of interstatement must alias. With such alias, the modified or used access paths inside a method can be mapped to the access paths on formal parameters at the method entry. By representing the side-effects as entry access paths, we can filter a callee's side-effects in the callers. Finally, we present the detail of the side-effect analysis algorithm which has an escape filter to avoid superfluous side-effects.

### 3.1 Escape Analysis

This paper uses Gay and Steensgaard's fast algorithm [14] to do escape analysis. The algorithm establishes three predicates, i.e., *fresh*, *escaped*, and *returned*, which can be used in a combination to determine whether a local reference variable may access out-visible objects. Each predicate is a map from local variables to *Boolean* values:

*fresh*: Local  $\rightarrow$  Boolean,  
*escaped*: Local  $\rightarrow$  Boolean,  
*returned*: Local  $\rightarrow$  Boolean.

Here, *fresh*( $v$ ) = *true* indicates that variable  $v$  only accesses objects newly created by the enclosing method of  $v$ . *escape*( $v$ ) = *true* indicates that variable  $v$  accesses an object possibly escaping from the enclosing method via static fields or instance fields. *return*( $v$ ) = *true* indicates that variable  $v$  accesses an object possibly escaping from the enclosing method via the method returns.

Based on Gay and Steensgaard's predicates, we define a predicate *isLocal*( $v$ ) to determine whether a variable  $v$  can only access the objects whose lifetimes are limited to the enclosing method of  $v$ .

$$isLocal(v) := fresh(v) \wedge \neg escaped(v) \wedge \neg returned(v)$$

Predicate *isLocal*( $v$ ) is evaluated to *true* only when variable  $v$  just accesses newly created objects and the objects do not escape from the enclosing method of  $v$  via static fields, instance fields, or method returns. If *isLocal*( $v$ ) = *true*, then any modification or use to the fields of the objects accessed by  $v$  will not be put into the side-effect sets.

In methods `foo` and `bar` of Figure 1, *fresh*(`b`) and *fresh*(`d`) are *true*, *escaped*(`b`) and *escaped*(`d`) are *false*, and no object escapes via method returns. Therefore, *isLocal*(`b`) and *isLocal*(`d`) are *true*.

While  $isLocal(\mathbf{a})$  and  $isLocal(\mathbf{c})$  are *false* because  $fresh(\mathbf{a})$  and  $fresh(\mathbf{c})$  are not *true*. According to the values of the  $isLocal$  predicates, we can filter the modifications or uses via variables  $\mathbf{b}$  or  $\mathbf{d}$  out of the side-effect sets during the side-effect computation.

### 3.2 Interstatement Must Alias Analysis

In this paper, we use the forward interstatement must alias to map the inner access paths to the access paths on formal parameters at the method entry. An interstatement must alias models the relation between access paths in different program sites [22]. The definition of the forward must alias is presented as following:

**Definition 1** (Forward Must Alias). A forward must alias  $\langle m: \alpha, n: \beta \rangle$  indicates that when the program flow is from statement  $m$  to statement  $n$ , access path  $\alpha$  in the latest occurrence of  $m$  always accesses the same physical location as access path  $\beta$  in the current occurrence of  $n$ .

For example, in Figure 1,  $\langle E_{foo}: \mathbf{a.i}, 4: \mathbf{c.i} \rangle$  is a forward must alias because from the view of statement 4, access path  $\mathbf{a.i}$  in the latest occurrence of  $E_{foo}$ , method `foo`'s entry, always accesses the same memory location as access path  $\mathbf{c.i}$ . According to this forward must alias, we can represent the modification effects on  $\mathbf{c.i}$  as access path  $\mathbf{a.i}$ . These two representations have the same meaning for side-effect computation.

There can be different algorithms for interstatement must alias computation. This paper chooses the global value numbering [10] based algorithm presented in our previous work [23] to obtain the forward must aliases. The algorithm works on the SSA representation of a program. It is context-insensitive and can determine whether two instance field references or array element references definitely access the same locations in a very short time.

### 3.3 The Side-Effect Analysis Algorithm

As the computation of the modification effects and the computation of the use effects are almost the same, this section will only present the algorithm for modification effect computation. We focus on the method level side-effect analysis. During the analysis, the side-effects of a method  $m$  are represented with a pair  $\langle A_m, L_m \rangle$ , where  $A_m$  is a set of access paths on  $m$ 's formal parameters and  $L_m$  is a set of abstract locations storing the side-effects that cannot be represented by set  $A_m$ . After analysis, resolving the memory locations accessed by the access paths in  $A_m$  and unify them with  $L_m$  can obtain the final location-based side-effects.

The side-effect analysis of a method takes two steps: first, we collect the intraprocedural side-effects caused by the method itself; then, we merge the side-effects of the callee methods into the current method to obtain the total side-effects. Algorithm 1 presents the detailed algorithm. The first and the second outmost foreach loops correspond to the first and second steps, respectively. In the first step, for each assignment, we firstly check whether the modified access path can access an out-visible location with the  $isLocal$  predicate. Note that the side-effect analysis is performed on a three-address code like intermediate program representation. Each access path contains at most one field or array element access. Therefore, if the base variable only refers to local objects, the modified location is definitely not out-visible and should be filtered out from the side-effect sets. Then, for an access path that accesses out-visible locations, we try to map the inner access path to an entry access path on some formal parameter with the interstatement must aliases. If an aliased map target is found, then the target access path will be added to set  $A_m$ . Otherwise, the access path will be resolved to memory locations and put into  $L_m$ .

In the second step, we merge the side-effects of the callee

methods to the callers. Let  $m$  be the caller and  $Q$  be the callee. Then,  $L_Q$ , the abstract location part of  $Q$ 's side-effects, will directly be merged into  $L_m$ , the modified abstract location set of method  $m$ . For the access path form side-effects in  $A_Q$ , we firstly map them to the access paths on  $Q$ 's actual arguments. Then, these access paths can be treated as normal modified access paths. We can use the  $isLocal$  predicate to filter out the modifications to local objects, and put the remaining side-effects into either  $A_m$  or  $L_m$  depending on whether the argument-based access paths can be mapped to some must aliased entry access paths.

Since the side-effects of a caller depend on the side-effects of its callees, the methods in a program are analyzed in bottom-up manner. When there are recursions, we iteratively compute the side-effect sets until a fixed point is reached. To be efficient, the algorithm follows the strongly-connected-component (SCC) based computing order [11] and restricts the iterative computation to the SCCs in a call graph. In this way, unnecessary iterations can be avoided.

In Figure 1, for method `foo`, there are two modified access paths:  $\mathbf{b.i}$  and  $\mathbf{c.i}$ . Because  $isLocal(\mathbf{b})$  is *true*,  $\mathbf{b.i}$  will be filtered out during the first step of the intra-procedural side-effect collection, and it will not be added to the modification effect set of method `foo`. Since  $isLocal(\mathbf{c})$  is *false*,  $\mathbf{c.i}$  will not be filtered out, and we will try to map  $\mathbf{c.i}$  to an entry access path. According to a forward must alias  $\langle E_{foo}: \mathbf{a.i}, 4: \mathbf{c.i} \rangle$ ,  $\mathbf{c.i}$  can be mapped to entry access path  $\mathbf{a.i}$ . The access path  $\mathbf{a.i}$  will then be put into  $A_{foo}$ , the access path part of method `foo`'s modification effect set. As all the access paths accessing out-visible locations can be mapped to the entry access paths,  $L_{foo}$ , the location part of method `foo`'s modification effect set will be empty.

For method `bar`, since the method has no intraprocedural side-effect, we only need to merge the side-effects of callee method `foo` into `bar`'s side-effect sets. The only side-effect of `foo`, namely  $\mathbf{a.i}$ ,

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#### Algorithm 1: Side-Effect Analysis

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**Input:**  $m$ : method  
**Output:**  $\langle A_m, L_m \rangle$   
 let  $E_m$  be the entry of  $m$ , and  $S$  be the set of all assignments in  $m$ ;  
 let  $Alias(m)$  be the interstatement must aliases in  $m$ ;  
 let  $C$  be the set of all method calls in  $m$ ;  
 let  $Loc(\alpha)$  be the abstract locations accessed by access path  $\alpha$ ;  
 let  $base(\alpha)$  be the base variable of access path  $\alpha$ ;  
**foreach** " $n: \alpha = \dots$ "  $\in S$  **do**  
 if  $\neg isLocal(base(\alpha))$  **then**  
 if  $\exists \beta. \langle E_m: \beta, n: \alpha \rangle \in Alias(m)$  **then**  
    $A_m := A_m \cup \{\beta\}$ ;  
**else**  
    $L_m := L_m \cup Loc(\alpha)$ ;  
**end**  
**end**  
**foreach**  $c \in C$  **do**  
**foreach** callee  $Q$  of  $c$  **do**  
    $\langle A_Q, L_Q \rangle := SideEffect(Q)$ ;  
    $L_m := L_m \cup L_Q$ ;  
   **foreach**  $\alpha \in A_Q$  **do**  
      $\gamma :=$  access path on actuals mapped from  $\alpha$  in  $Q$ ;  
     if  $\neg isLocal(base(\gamma))$  **then**  
       if  $\exists \beta. \langle E_m: \beta, c: \gamma \rangle \in Alias(m)$  **then**  
          $A_m := A_m \cup \{\beta\}$ ;  
       **else**  
          $L_m := L_m \cup Loc(\gamma)$ ;  
       **end**  
     **end**  
**end**  
**end**  
**end**

---

will firstly be mapped to access path  $d.i$  at the callsite of  $foo$ , i.e., statement 6. Then, as  $isLocal(d)$  is *true*, the side-effect represented by  $d.i$  will be filtered out. No element will be put into method  $bar$ 's side-effect sets, and the method is side-effect free.

In the algorithm, we use the *isLocal* predicate based escape filter to check the properties of local variables and thereby filter out the modification or use effects on local objects. As the number of local variables in a method is usually much smaller than the number of abstract objects possibly referred to by them, this filter is usually more efficient than an object-based filter that checks the property of each object to filter superfluous side-effects. The algorithm also incorporates the idea of lazy access path resolving [23] in side-effect analysis, since the accessed locations of many access paths are resolved later in the caller methods instead of in the methods modifying or using the access paths. This also adds another source for the precision improvement of the side-effect analysis.

## 4. EXPERIMENT

To validate the proposed side-effect analysis method, we implement Gay and Steensgaard's fast escape analysis, the GVN-based interstatement must alias analysis, and the new side-effect analysis algorithm on Soot 2.4.0 [28] with Spark [18] inclusion-based context-insensitive points-to analysis as the base. The analysis is performed on Soot's Shimple SSA intermediate representation. In the points-to analysis, we name objects according to their allocation sites. Each instance field is counted as a memory location, and after analysis all the access path based side-effect representations are resolved to their accessed locations. As a prototype, the implementation does not consider the side-effects of native methods, but such methods do be simulated during pointer analysis.

### 4.1 Experiment Settings

Based on the implementation, the paper randomly selects several benchmark programs used in the previous researches on pointer analysis or side-effect analysis for experimental study. As presented in Table 1, the programs include *soot-c* from the Ashes suite [1], *polyglot* 1.3.5 [21], *ps* from DaCapo benchmark suite version beta-2006-08 [4], *213x\_javac* and *202\_jess* from SPECjvm2008 [2] (two programs used as the inputs of program *compress*), and another 6 programs from DaCapo benchmark suite version 2006-10-MR2-xdeps [4]. There are also other programs in the above benchmark suites. The experimental results for them are similar to the ones in Table 1. For space limitation, we will not list them here. In Table 1, column **Classes** counts the classes analyzed by Soot. Column **Methods** counts the reachable methods in Spark's call graph. These methods are processed by size-effect analysis. Column **Spark Time** shows the time consumed by Spark points-to analysis.

**Table 1. Experiment subjects**

Name	Description	Classes	Methods	Spark Time(s)
soot_c	A bytecode analysis framework for Java	2754	10309	19.2
polyglot	A framework for Java language extensions	2327	8195	20.2
antlr	A parser and lexical analyzer generator	2213	8762	11.9
jython	A Python interpreter	2651	11645	16.1
bloat	A Java bytecode optimizer	2380	10737	18.4
pmd	A Java source code analyzer	2869	10038	11.8
ps	A postscript interpreter	5104	13378	52.8
fop	An output-independent print formatter	6625	12704	50.6
213x_javac	JDK Java compiler	2250	9162	14.8
202_jess	A Java expert shell system	5071	12606	44.8

In the experiment, we compare the newly proposed side-effect analysis which has an escape filter (**Esc**) with a normal strongly-connected-component based side-effect analysis (**Norm**) [11]. The normal analysis considers all the methods in a SCC of the call graph having the same side-effects. It firstly finds the SCCs of the call graph. Then, merging the side-effects of all the methods in a SCC and their callees can find the side-effects for each method in the SCC. In the paper, all the experiment results are collected on a PC with Intel Core i5 2.8 GHz CPU, 16G RAM, and JDK 1.5.0\_09.

### 4.2 Experimental Results and Discussions

Table 2 presents the first experimental results. In the table, column **Time** lists the time spent in the whole side-effect analysis phase, including the time spent in the escape analysis and the must alias analysis, but not including the time spent in bytecode parsing and points-to analysis. Column **Mod Locations** and **Use Locations** count the sizes of modification sets and the sizes of use sets, respectively. From the table, we can see that for all benchmarks, the new analysis method averagely reduces about 11.5% of a method's side-effect sets. The analysis time is averagely 5.8 times of the normal algorithm. Although much more than the old one, we shall note that the usual analysis time is still in 1 minute, and the increased analysis time is not too much compared to the total time spent in both the side-effect analysis and the pre-processing like bytecode parsing and points-to analysis before side-effect analysis. A major reason for that the new algorithm takes more time is because it needs fixed point iteration on the strongly-connected-components of the call graph, while the normal

**Table 2. Experimental results for the normal and the newly proposed side-effect analysis methods**

Subject	Time (s)		Mod Locations		Use Locations		Improvement (%)		
	Norm	Esc	Norm	Esc	Norm	Esc	Mod	Use	Total
soot_c	15.2	58.3	10390	8975	8596	7602	13.6	11.6	12.7
polyglot	2.6	20.2	10487	9393	8002	7124	10.4	11.0	10.7
antlr	7.0	37.2	10930	9418	8845	7912	13.8	10.6	12.4
jython	5.6	49.2	14670	13317	11560	10575	9.2	8.5	8.9
bloat	23.8	97.4	13781	11657	10925	9809	15.4	10.2	13.1
pmd	8.4	31.1	11465	10101	9505	8521	11.9	10.4	11.2
ps	31.9	117.0	16185	14003	12468	11349	13.5	8.9	11.5
fop	13.3	97.4	16328	14519	12164	10942	11.1	10.1	10.6
213x_javac	8.6	36.9	11969	10312	9427	8425	13.8	10.6	12.4
202_jess	12.4	113.0	16266	14627	12405	11131	12.3	10.3	11.4
Average		×5.8					12.5	10.2	11.5

**Table 3. Experimental results for the side-effect analyses with special treatments to the class initialization calls, the finalize calls, and the immutable types**

Subject	Time (s)		Mod Locations		Use Locations		Improvement (%)		
	Norm	Esc	Norm	Esc	Norm	Esc	Mod	Use	Total
soot_c	7.3	28.6	4226	2567	3516	2931	39.3	16.6	29.0
polyglot	2.0	16.1	3931	2520	3109	2586	35.9	16.8	27.5
antlr	4.1	18.6	4333	2710	3712	3126	37.5	15.8	27.5
jaython	4.4	49.2	7265	5372	5932	5283	26.1	10.9	19.3
bloat	9.4	47.7	6666	4455	5500	4704	33.2	14.5	24.7
pmd	5.3	16.8	5416	3549	4822	4093	34.5	15.1	25.4
ps	11.6	85.9	6012	3874	4900	4402	35.6	10.2	24.2
fop	7.7	60.4	6034	4104	4862	4195	32.0	13.7	23.9
213x_javac	5.3	20.7	5003	3181	4077	3426	36.3	15.9	27.2
202_jess	6.9	64.6	6267	4216	5233	4487	32.7	14.3	24.3
Average		×6.4					34.3	14.4	25.3

algorithm does not demand any iterative computation on recursive loops [11].

In the previous work [23], we have found that a great threat to the precision improvement is a couple of huge side-effect sets (mainly belonging to the Java library methods) which are hard to refine but widely propagated due to the over-conservative call graph. In practice, to suffer less from them, we can ignore the implicit class initialization calls and finalize calls and treat the immutable types including `java.lang.Object`, `java.lang.String`, `java.lang.Class`, and the wrapper classes corresponding to `char`, `int`, `float`, and so on, as build-in types [23]. These treatments are reasonable because ignoring the class initialization calls and the finalize calls usually does not affect the understanding of a method's behavior and the objects of immutable types are values instead of variable memory locations. Such treatments make the analysis less safe, but may still benefit many applications like program understanding and software maintenance.

Table 3 presents the experimental results for the side-effect analyses with special treatments to the class initialization calls, the finalize calls, and the immutable types. From this table, we can see that with the treatments presented in the last paragraph the newly proposed algorithm averagely reduces 25.3% of each method's side-effects. The precision improvement for the modification effects is more significant. The new analysis averagely gets 34.3% precision improvement for the modification effect computation. Although demanding 6.4 times of the analysis time, the average side-effect analysis time is just 40.9 seconds. These results indicate that the new side-effect analysis method can effectively improve the analysis precision within a short extra time, especially for the modification effect analysis.

**Table 4. Experimental comparison between the lazy access path resolving based side-effect analysis and the newly proposed side-effect analysis**

Subject	Time (s)	Mod	Use	Improvement (%)		
				Mod	Use	Total
soot_c	29.3	2782	3115	7.7	5.9	6.8
polyglot	15.3	2750	2783	8.4	7.1	7.7
antlr	15.0	2949	3329	8.1	6.1	7.0
jaython	32.0	5793	5640	7.3	6.3	6.8
bloat	46.9	4771	4974	6.6	5.4	6.0
pmd	15.8	3860	4357	8.1	6.1	7.0
ps	72.0	4150	4663	6.7	5.6	6.1
fop	48.2	4389	4464	6.6	6.0	6.3
213x_javac	21.2	3455	3652	7.8	6.1	6.9
202_jess	60.6	4521	4775	6.8	6.0	6.4
Average				7.4	6.1	6.7

As mentioned in Section 3.3, the newly proposed approach also incorporates the idea of lazy access path resolving (LAPR) in side-effect analysis. To investigate whether the new approach does add more precision to the LAPR based side-effect analysis [23], we also compare it with the LAPR based side-effect analysis in experiment. Table 4 presents the experimental comparison results. In the table, column `Time`, `Mod`, and `Use` list the consumed time, the modification effect set size, and the use effect size of the LAPR based side-effect analysis, respectively. Column `Improvement` shows the precision improvements of the newly proposed side-effect analysis compared to the LAPR based side-effect analysis in modification effect computation, use effect computation, and total side-effect computation. From the table, we can see that the new analysis algorithm improves the side-effect analysis precision by 6.7% compared to the LAPR based algorithm. Their analysis time is very close. This means that using the escape filter can add more precision to the LAPR based side-effect analysis with very little extra cost.

## 5. RELATED WORK

There is a large body of work on side-effect analysis for languages with pointers. Clausen [9] proposes a field-based approach to compute side-effects for Java programs. The approach does not use any pointer information, and the instances of a field in different objects are not distinguished. Ryder et al. [26] present a general framework for modification side-effect analysis of C programs. They use alias analysis as a base for side-effect computation. Razafimahefa [24] presents algorithms for side-effect analysis for Java based on context-insensitive type-based analysis and refers-to analysis. Milanova et al. [19] propose a side-effect analysis for Java based on object-sensitive points-to analysis. Le et al. [16] compute the side-effects for Java programs based on Spark context-insensitive points-to analysis and use the side-effect information in compiler optimization. Xue et al. [30] propose a method to compute side-effects for incomplete Java programs. Qian et al. [23] introduce a lazy access path resolving based method to improve the side-effect analysis precision under inclusion-based context-insensitive pointer analysis. Flexeder et al. [13] propose a method to compute side-effects for assemble code. All the above approaches do not use escape information in side-effect computation. Rountev [25] and Sălcianu and Rinard [27]'s approaches check whether an object is reachable from the outside of a method to determine whether the object escapes, and thereby use the escape information to filter superfluous side-effects. These approaches use the object-based escape information. As discussed before, such information is more complex to be used compared to

the variable-based escape information used by this paper. What's more, both the two researches do not investigate to what extent the escape information can be used to improve the side-effect analysis precision. Cherem and Rugina [7] propose an approach to build special escape and effect summaries for each method. They do not compute the detailed side-effect sets. Therefore, the approach is not comparable with ours.

Many escape analyses with flavors in different precisions and efficiency have been proposed in literature [3, 5-8, 12, 14, 17, 20, 29]. Some of the analyses are context-insensitive (e.g., [6, 14]), while some others are context-sensitive (e.g., [5, 29]). Several escape analyses can even compute flow-sensitive escape information (e.g., [8, 20, 29]). Most of the existing escape analyses are object-based (e.g., [5, 6, 8, 20, 29]), which tag escape information on heap objects to indicate whether an object can possibly escape from its creation scopes. There are also some variable-based escape analyses which tag escape information on local reference variables instead of heap objects to indicate whether an object can escape (e.g., [3, 14]). These analyses are usually less precise but much more efficient. This paper only investigates the effects of Gay and Steensgaard's lightweight context-insensitive variable-based escape analysis on side-effect computation. The work cannot comprehensively show the power of escape analysis on side-effect computation. With a more expensive escape analysis, more precision improvement may be achieved. In the future, we also plan to study other escape analysis methods to improve side-effect computation.

## 6. CONCLUSION

People believe that escape information can be used to improve the precision of side-effect analysis. But, how can the escape information be used and how much improvement can be achieved? These questions have not been comprehensively answered. To address the problems, this paper designs a new side-effect analysis using Gay and Steensgaard's fast escape analysis to filter superfluous side-effects. The experimental study shows that the new analysis with escape filter can effectively improve the side-effect analysis precision within a short extra time. This indicates that the escape information does be valuable in side-effect computation.

## ACKNOWLEDGEMENT

This research is supported by the National Natural Science Foundation of China (60903026).

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