Well-Structured Futures and Cache Locality

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Abstract

In *fork-join parallelism*, a sequential program is split into a directed acyclic graph of tasks linked by directed dependency edges, and the tasks are executed, possibly in parallel, in an order consistent with their dependencies. A popular and effective way to extend fork-join parallelism is to allow threads to create *futures*. A thread creates a future to hold the results of a computation, which may or may not be executed in parallel. That result is returned when some thread *touches* that future, blocking if necessary until the result is ready.

Recent research has shown that while futures can, of course, enhance parallelism in a structured way, they can have a deleterious effect on cache locality. In the worst case, futures can incur $\Omega(PT_{\infty} + tT_{\infty})$ deviations, which implies $\Omega(CPT_{\infty} + CtT_{\infty})$ additional cache misses, where *C* is the number of cache lines, *P* is the number of processors, *t* is the number of touches, and T_{∞} is the *computation span*. Since cache locality has a large impact on software performance on modern multicores, this result is troubling.

In this paper, however, we show that if futures are used in a simple, disciplined way, then the situation is much better: if each future is touched only once, either by the thread that created it, or by a later descendant of the thread that created it, then parallel executions with work stealing can incur at most $O(CPT_{\infty}^2)$ additional cache misses, a substantial improvement. This structured use of futures is characteristic of many (but not all) parallel applications.

Categories and Subject Descriptors C.4 [*Performance of Systems*]: Performance attributes; D.3.3 [*Language Constructs and Features*]: Concurrent programming structures

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1. Introduction

Futures [18, 19] are an attractive way to structure many parallel programs because they are easy to reason about (especially if the futures have no side-effects) and they lend themselves well to sophisticated dynamic scheduling algorithms, such as work-stealing [11] and its variations, that ensure high processor utilization. At the same time, however, modern multicore architectures employ complex multi-level memory hierarchies, and technology trends are increasing the relative performance differences among the various levels of memory. As a result, processor utilization can no longer be the sole figure of merit for schedulers. Instead, the *cache locality* of the parallel execution will become increasingly critical to overall performance. As a result, cache locality will increasingly join processor utilization as a criterion for evaluating dynamic scheduling algorithms.

Several researchers [1, 22] have shown, however, that introducing parallelism through the use of futures can sometimes substantially reduce cache locality. In the worst case, if we add futures to a sequential program, a parallel execution managed by a work-stealing scheduler can incur $\Omega(PT_{\infty} + tT_{\infty})$ deviations, which, as we show, implies $\Omega(CPT_{\infty} + CtT_{\infty})$ more cache misses than the sequential execution. Here, C is the number of cache lines, P is the number of processors, t is the number of touches, and T_{∞} is the computation's *span* (or *critical path*). As technology trends cause the cost of cache misses to increase, this additional cost is troubling.

This paper makes the following three contributions. First, we show that if futures are used in a simple, disciplined way, then the situation with respect to cache locality is much better: if each future is touched only once, either by the thread that created it, or by a later descendant of that thread, then parallel executions with work stealing can incur at most $O(CPT_{\infty}^2)$ additional cache misses, a substantial improvement over the unstructured case. This result provides a simple way to identify computations for which introducing futures will not incur a high cost in cache locality, as well as providing guidelines for the design of future parallel computations. (Informally, we think these guidelines are natural, and correspond to structures programmers are likely to use anyway.) Our second contribution is to observe that when

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the scheduler has a choice between running the thread that created a future, and the thread that implements the future, running the future thread first provides better cache locality. Finally, we show that certain variations of structured computation also have good cache locality.

The paper is organized as follows. Section 2 describes the model for future-parallel computations. In Section 3, we describe parsimonious work-stealing schedulers, and briefly discuss their cache performance measures. In Section 4, we define some restricted forms of structured future-parallel computations. Among them, we highlight structured singletouch computations, which, we believe, are likely to arise naturally in many programs. In Section 5.1, we prove that work-stealing schedulers on structured single-touch computations incur only $O(CPT_{\infty}^2)$ additional cache misses, if a processor always chooses the future to execute first when it creates that future. We also prove this bound is tight within a factor of C. In section 5.2, we show that if a processor chooses the current thread over the future thread when it creates that future, then the cache locality of a structured single-touch computation can be much worse. In Section 6, we show that some other kinds of structured future-parallel computations also achieve relatively good cache locality. Finally, we present conclusions in Section 7.

2. Model

In *fork-join parallelism* [5, 6, 8], a sequential program is split into a directed acyclic graph of *tasks* linked by directed dependency edges. These tasks are executed in an order consistent with their dependencies, and tasks unrelated by dependencies can be executed in parallel. Fork-join parallelism is well-suited to dynamic load-balancing techniques such as *work stealing* [1–3, 9, 11–13, 15, 18–20].

A popular and effective way to extend fork-join parallelism is to allow threads to create futures [4, 7, 14, 18, 19]. A future is a data object that represents a promise to deliver the result of an asynchronous computation when it is ready. That result becomes available to a thread when the thread touches that future, blocking if necessary until the result is ready. Futures are attractive because they provide greater flexibility than fork-join programs, and they can also be implemented effectively using dynamic load-balancing techniques such as work stealing. Fork-join parallelism can be viewed as a special case of future-parallelism, where the spawn operation is an implicit future creation, and the sync operation is an implicit touch of the untouched futures created by a thread. Future-parallelism is more flexible than fork-join parallelism, because the programmer has finer-grained control over touches (joins).

2.1 Computation DAG

A thread creates a future by marking an expression (usually a method call) as a *future*. This statement spawns a new thread to evaluate that expression in parallel with the thread that

created the future. When a thread needs access to the results of the computation, it applies a *touch* operation to the future. If the result is ready, it is returned by the touch, and otherwise the touching thread blocks until the result becomes ready. Without loss of generality, we will consider fork-join parallelism to be a special case of future-parallelism, where forking a thread creates a future, and joining one thread to another is a touch operation.

Our notation and terminology follow earlier work [1, 3, 11, 22]. A future-parallel computation is modeled as a *directed acyclic graph* (DAG). Each node in the DAG represents a task (one or more instructions), and an edge from node u to node v represents the dependency constraint that u must be executed before v. We follow the convention that each node in the DAG has in-degree and out-degree either 1 or 2, except for a distinguished *root node* with in-degree 0, where the computation starts, and a distinguished *final node* with out-degree 0, where the computation ends.

There are three types of edges:

- *continuation edges*, which point from one node to the next in the same thread,
- *future edges* (sometimes called *spawn* edges), which point from node *u* to the first node of another thread spawned at *u* by a future creation,
- *touch edges* (sometimes called *join* edges), directed from a node u in one thread t to a node v in another thread, indicating that v touches the future computed by t.

A *thread* is a maximal chain of nodes connected by continuation edges. There is a distinguished *main thread* that begins at the root node and ends at the final node, and every other thread t begins at a node with an incoming future edge from a node of the thread that spawns t. The last node of t has only one outgoing edge which is a touch edge directed to another thread, while other nodes of t may or may not have incoming and outgoing touch edges. A *critical path* of a DAG is a longest directed path in the DAG, and the DAG's *computation span* is the length of a critical path.

As illustrated in Figure 1, if a thread t_1 spawns a new thread t_2 at node v in t_1 (i.e., v has two out-going edges, a continuation edge and a future edge to the first node of t_2), then we call t_1 the *parent thread* of t_2 , t_2 the *future thread* (of t_1) at v, and v the *fork* of t_2 . A thread t_3 is a *descendant thread* of t_1 if t_3 is a future thread of t_1 or, by induction, t_3 's parent thread is a descendant thread of t_1 .

If there is a touch edge directed from node v_1 in thread t_1 to node v_2 in thread t_2 (i.e., t_2 touches a future computed by t_1), and a continuation edge directed from node u_2 in t_2 to v_2 , then we call node v_2 a *touch of* t_1 by t_2 , v_1 the *future parent* of v_2 , u_2 the *local parent* of v_2 , and t_1 the future thread of v_2 . (Note that the touch v_2 is actually a node in thread t_2 .) We call the fork of t_1 the *corresponding fork* of v_2 .



Figure 1. Node and thread terminology

Note that only touch nodes have in-degree 2. To distinguish between the two types of nodes with out-degree 2, forks and future parents of touches, we follow the convention of previous work that the children of a fork both have in-degree 1 and cannot be touches. In this way, a fork node has two children with in-degree 1, while a touch's future parent has a (touch) child with in-degree 2.

We follow the convention that when a fork appears in a DAG, the future thread is shown on the left, and the future parent on the right. (Note that this does not mean the future thread is chosen to execute first at a fork.) Similarly, the future parent of a touch is shown on the left, and the local parent on the right.

We use the following (standard) notation. Given a computation DAG, P is the number of processors executing the computation, t is the number of touches in the DAG, T_{∞} , the *computation span* (or *critical path*), is the length of the longest directed path, and C is the number of cache lines in each processor.

3. Work-Stealing and Cache Locality

In the paper, we focus on parsimonious work stealing algorithms [3], which have been extensively studied [1, 3, 10, 11, 22] and used in systems such as Cilk [9]. In a parsimonious work stealing algorithm, each processor is assigned a double-ended queue (deque). After a processor executes a node with out-degree 1, it continues to execute the next node if the next node is ready to execute. After the processor executes a fork, it pushes one child of the fork onto the bottom of its deque and executes the other. When the processor runs out of nodes to execute, it pops the first node from the bottom of its deque if the deque is not empty. If, however, its deque is empty, it steals a node from the top of the deque of an arbitrary processor.

In our model, a cache is fully associative and consists of multiple *cache lines*, each of which holds the data in a *memory block*. Each instruction can access only one memory block. In our analysis we focus only on the widely-used *least-recently used* (LRU) cache replacement policy, but our results should apply to all *simple* cache replacement policies [1].

The *cache locality* of an execution is measured by the number of cache misses it incurs, which depends on the structure of the computation. To measure the effect on cache locality of parallelism, it is common to compare cache misses encountered in a sequential execution to the cache misses encountered in various parallel executions, focusing on the number of *additional* cache misses introduced by parallelism.

Scheduling choices at forks affect the cache locality of executions with work stealing. After executing a fork, a processor picks one of the two child nodes to execute and pushes the other into its deque. For a sequential execution, whether a choice results in a better cache performance is a characteristic of the computation itself. For a parallel execution of a computation satisfying certain properties, however, we will show that choosing future threads (the left children) at forks to execute first guarantees a relatively good upper bound on the number of additional cache misses, compared to a sequential execution that also chooses future threads first. In contrast, choosing the parent threads (the right children) to execute first can result in a large number of additional cache misses, compared to a sequential execution that also chooses parent threads first.

4. Structured Computations

Consider a sequential execution where node v_1 is executed immediately before node v_2 . A *deviation* [22], also called a drifted node [1], occurs in a parallel execution if a processor P executes v_2 , but not immediately after v_1 . For example, p might execute v_1 after v_2 , it might execute other nodes between v_1 and v_2 , or v_1 and v_2 might be executed by distinct processors.

Spoonhower et al. [22] showed that a parallel execution of a future-parallel computation with work stealing can incur $\Omega(PT_{\infty}+tT_{\infty})$ deviations. This implies a parallel execution of a future-parallel computation with work stealing can incur $\Omega(PT_{\infty}+tT_{\infty})$ additional cache misses. With minor modifications in that computation (see Figure 2), a parallel execution can even incur $\Omega(CPT_{\infty}+CtT_{\infty})$ additional cache misses.



Figure 2. The interesting part of the bound is $\Omega(CtT_{\infty})$. Figure 5 in [22] shows a DAG, as a building block of a worst-case computation, that can incur $\Omega(T_{\infty})$ deviations because of one touch. We can replace it with the DAG in Figure 2, which can incur $\Omega(CT_{\infty})$ additional cache misses due to one touch v (if the processor at a fork always chooses the parent thread to execute first), so that the worst-case computation in [22] can incur $\Omega(CtT_{\infty})$ additional cache misses because of t such touches. This DAG is similar to the DAG in Figure 6(a) in this paper. The proof of Theorem 10 shows how a parallel execution of this DAG incurs $\Omega(CT_{\infty})$ additional cache misses.

Our contribution in this paper is based on the observation that such poor cache locality occurs primarily when futures in the DAG are touched by threads created before the future threads computing these futures where created. As illustrated in Figure 3(a), a parallel execution of such a computation can arrive at a scenario where a thread touches a future before the future thread computing that future has been spawned. (As a practical matter, an implementation must ensure that such a touch does not return a reference to a memory location that has not yet been allocated.) We will show that such scenarios are avoided by *structured* future-parallel computations that follow certain simple restrictions.

DEFINITION 1. A DAG is a structured future-parallel computation if, (1) for the future thread t of any fork v, the local parents of the touches of t are descendants of v, and (2) at least one touch of t is a descendant of the right child of v.

There are two reasons we require that at least one touch of t is a descendant of the right child of v. First, it is natural that a computation spawns a future thread to compute a future because the computation itself later needs that value. At the fork v, the parent thread (the right child of v) represents the "main body" of the computation. Hence, the future will usually be touched either by the parent thread, or by threads spawned directly or indirectly by the parent thread.

Second, a computation usually needs a kind of "barrier" synchronization to deal with resource release at the end of the computation. Some node in the future thread t, usually



(a) A simplified version of the DAG in Spoonhower et al. [22] that can incur high cache overhead. Here, v_1 and v_2 are touches. Suppose a processor p_1 executes the root node, pushes the right child x of the root node into its deque, and then falls asleep. Now another processor p_2 steals x from p_1 's deque and executes the subgraph rooted at x. Thus, v_1 and v_2 will be checked (to see if they are available) even before the corresponding future threads are spawned at u_1 and u_2 .



(b) In this structured computation, the touches v_1 and v_2 will not be checked until their corresponding future threads have been spawned at u_1 and u_2 , respectively



the last node, should have an outgoing edge pointing to the "main body" of the computation to tell the main body that the future thread has finished. Without such synchronization, t and its descendants will be isolated from the main body of the computation, and we can imagine a dangerous scenario where the main body of the computation finishes and releases its resources while t or its descendant threads are still running.

In our DAG model, such a synchronization point is by definition a touch node, though it may not be a real touch. We follow the convention that the thread that spawns a future thread releases it, so the synchronization point is a vertex in the parent thread or one of its descendants. Another possibility is to place the synchronization point at the last node of the entire computation, which is the typically case in languages such as Java, where the main thread of a program is in charge of releasing resources for the entire computation. These two styles are essentially equivalent, and should have almost the same bounds on cache overheads. We will briefly discuss this issue in Section 6.2.

We consider how the following constraint affects cache locality.

DEFINITION 2. A structured single-touch computation is a structured computation where each future thread spawned at a fork v is touched only once, and the touch node is a descendant of v's right child.

By the definition of threads, the future parent of the only touch of a future thread is the last node of that future thread (the last node can also be a parent of a join node, but we don't distinguish between a touch node and a join node). We will show that work-stealing parallel executions of structured single-touch computations achieve significantly less cache overheads than unstructured computations.

In principle, a future could be touched multiple times by different threads, so structured single-touch computations are more restrictive structured computations in general. Nevertheless, the single-touch constraint is one that is likely to be observed by many programs. For example, as noted, the Cilk [9] language supports fork-join parallelism, a strict subset of the future-parallelism model considered here. If we interpret the Cilk [9] language's spawn statement as creating a future, and its sync statement as touching all untouched futures previously created by that thread, then Cilk programs (like all fork-join programs) are structured singletouch computations.

Structured single-touch computations encompass forkjoin computations, but are strictly more flexible. Figure 4 presents two examples that illustrate the differences. If a thread creates multiple futures first and touches them later, fork-join parallelism requires they be touched (evaluated) in the reverse order. MethodA in Figure 4(a) shows the only order in which a thread can first create two futures and then touch them in a fork-join computation. This rules out, for instance, a program where a thread creates a sequence of futures, stores them in a priority queue, and evaluates them in some priority order. In contrast, our structured computations permit such futures to be evaluated by their creating thread or its descendants in any order.

Also, unlike fork-join parallelism, our notion of structured computation permits a thread to pass a future to a subroutine or descendant thread which touches that future, as illustrated in Figure 4(b). Our restrictions are: (1) only one thread can touch a future, and (2) the descendant thread that touches the future has to be created after the future. In fact, MethodC can even pass the future to a descendant of its own. In a fork-join computation, however, only the thread creating the future can touch it, which is much more restrictive. We believe these restrictions are easy to follow and should be compatible with how many people program in practice.

Belloch and Reid-Miller [7] observe that if a future can be touched multiple times, then complex and potentially inefficient operations and data structures are needed to correctly resume the suspended threads that are waiting for the touch. By contrast, the run-time support for futures can be significantly simplified if each future is touched at most once.

The single-touch constraint can be relaxed as follows.

DEFINITION 3. A structured local-touch computation is one where each future thread spawned at a fork v is touched only at nodes in the parent thread of t, and these touches are descendants of the right child of v.

Informally, the local touch constraint implies that a thread that needs the value of a future should create the future it-

```
void MethodA {
    Future x = some computation;
    Future y = some computation;
    a = y.touch();
    b = x.touch();
}

(a)
void MethodB {
    Future x = some computation;
    fork MethodC(x);
}
void MethodC(Future f){
    a = f.touch();
}
(b)
```

Figure 4. Two examples illustrating single-touch computations are more flexible than fork-join computations

self. Note that in a structured computation with local touch constraint, a future thread is now allowed to evaluate multiple futures and these futures can be touched at different times. Though allowing a future thread to compute multiple futures is not very common, Blelloch and Reid-Miller [7] point out that it can be useful for some future-parallel computations like pipeline parallelism [7, 9, 16, 17, 21]. We will show in Section 6.1 that work-stealing parallel executions of computations satisfying the local touch constraint also have relatively low cache overheads. Note that structured computations with both single touch and local touch constraints are still a superset of fork-join computations.

5. Structured Single-Touch Computations

5.1 Future Thread First at Each Fork

We now analyze cache performance of work stealing on parallel executions of structured single-touch computations. We will show that work stealing has relatively low cache overhead if the processor at a fork always chooses the future thread to execute first, and puts the parent future into its deque. For brevity, all the arguments and results in this section assume that every execution chooses the future thread at a fork to execute first.

LEMMA 4. In the sequential execution of a structured singletouch computation, any touch x's future parent is executed before x's local parent, and the right child of x's corresponding fork v immediately follows x's future parent. **Proof.** By induction. Given a DAG, initially let S be an empty set and T the set of all touches. Note that

$$S \cap T = \emptyset \text{ and } S \cup T = \{ \text{all touches} \}.$$
(1)

Consider any touch x in T, such that x has no ancestors in T. (That is, x has no ancestor nodes that are also touches.) Let t be the future thread of x and v the corresponding fork. Note that x's future parent is the last node of t by definition. When the single processor executes v, the processor pushes v's right child into the deque and continues to execute thread t. By hypothesis, there are no touches by t, since any touch by t must be an ancestor of x. There may be some forks in t. However, whenever the single processor executes a fork in t, it pushes the right child of that fork, which is a node in t, into the deque and hence t (i.e., a node in t) is right below v's right child in the deque. Therefore, the processor will always resume thread t before the right child of v. Since there is no touch by t, all the nodes in t are ready to execute one by one. Thus, when the future parent of the touch x is executed eventually, the right child of v is right at the bottom of the deque. By the single touch constraint, the local parent of x is a descendant of the right child of v, so the local parent of xcannot be executed yet. Thus, the processor will pop the right child of v from the bottom of the deque to execute. Since this node is not a touch, it is ready to execute. Therefore, xsatisfies the following two properties.

PROPERTY 5. Its future parent is executed before its local parent.

PROPERTY 6. The right child of its corresponding fork immediately follows its future parent.

Now set $S = S \cup \{x\}$ and $T = T - \{x\}$. Thus, all touches in S satisfy Properties 5 and 6. Note that Equation 1 still holds.

Now suppose that at some point all nodes in S satisfy Properties 5 and 6, and that Equation 1 holds. Again, we now consider a touch x in T, such that no touches in T are ancestors of x, i.e., all the touches that are ancestors of x are in S. Since the computation graph is a DAG, there must be such an x as long as T is not empty. Let t be the future thread of x and v the corresponding fork. If there are no touches by t, then x satisfies Properties 5 and 6, as shown above. Now assume there are touches by t. Since those touches are ancestors of x, they are all in S and hence they all satisfy Property 5. When the processor executes v, it pushes v's right child into the deque and starts executing t. Similar to what we showed above, when the processor gets to a fork in t, it will always push t into its deque, right below the right child of v. Thus, the processor will always resume tbefore the right child of v. When the processor gets to the local parent of a touch by t, we know the future parent of the touch has already been executed since the touch satisfies Property 5. Thus, the processor can immediately execute that touch and continue to execute t. Therefore, the processor will eventually execute the future parent of x while the right child of t is still the next node to pop in the deque. Again, since the local parent of x is a descendant of the right child of v, the local parent of x as well as x cannot be executed yet. Therefore, the processor will now pop the right child of v to execute, and hence x satisfies Properties 5 and 6. Now we set $S = S \cup \{x\}$ and $T = T - \{x\}$. Therefore, all touches in S satisfy Properties 5 and 6, and Equation 1) also holds. By induction, we have $S = \{$ all touches $\}$ and all touches satisfy Properties 5 and 6.

Acar *et al.* [1] have shown that the number of additional cache misses in a work-stealing parallel computation is bounded by the product of the number of deviations and the number of cache lines. It is easy to see that only two types of nodes in a DAG can be deviations: the touches and the child nodes of forks that are not chosen to execute first. Since we assume the future thread (left child) at a fork is always executed first, only the right children of forks can be deviations. Next, we bound the number of deviations incurred by a work-stealing parallel execution to bound its cache overhead.

LEMMA 7. Let t be the future thread at a fork v in a structured single-touch computation. If t's touch x or v's right child u is a deviation, then either u is stolen or there is a touch by t which is a deviation.

Proof. By Lemma 4, a touch is a deviation if and only if its local parent is executed before its future parent. Now suppose a processor p executes v and pushes u into its deque. Assume that u is not stolen and there are no touches by t that are deviations. Thus, u will stay in p's deque until p pops it out. The proof of this lemma is similar to that of Lemma 4. After p executes v, it moves to execute thread t. There are two possibilities that can make p move from t to another thread: when it executes a fork or the local parent of a touch. When it executes a fork, it will push t (the right child of the fork) into its deque, right below u. Since a thief processor always steals from the top of a deque, and by hypothesis u is not stolen, t cannot be stolen. Thus, p will always resume t before u and then u will become the next node in the deque to pop. When p executes the local parent of a touch by t, the future parent of that touch must have been executed, since we assume that touch is not a deviation. Thus, p can continue to execute that touch immediately and keep moving on in t. Therefore, p will finally get to the local parent of x and then pop u out from its deque, since x is a descendant of u and x cannot be execute yet. Hence, neither x nor u can be a deviation. П

THEOREM 8. If, at each fork, the future thread is chosen to execute first, then a parallel execution with work stealing incurs $O(PT_{\infty}^2)$ deviations and $O(CPT_{\infty}^2)$ additional cache misses in expectation on a structured single-touch computation, where (as usual) P is the number of processors involved in this computation, T_{∞} is the computation span, and C is the number of cache lines.

Proof. Arora *et al.* have shown that in a parallel execution with work stealing, there are in expectation $O(PT_{\infty})$ steals [3]. Now let us count how many deviations these steals can incur. A steal on the right child u of a fork v can make u and v's corresponding touch x_1 deviations. Suppose x_1 is a touch by a thread t_2 , then the right child of the fork of t_2 and t_2 's touch x_2 can be deviations. If x_2 is a deviation and x_2 is a touch by another thread t_3 , then the right child of the fork of t_3 and t_3 's touch x_3 can be deviation too. Note that x_2 is a descendant of x_1 and x_3 is a descendant of x_2 . By repeating this observation, we can find a chain of touches $x_1, x_2, x_3, ..., x_n$, called a *deviation chain*, such that each x_i and the right child of the corresponding fork of x_i can be deviations. Since for each i > 1, x_i is a descendant of $x_2, x_1, x_2, x_3, \ldots, x_n$ is in a directed path in the computation DAG. Since the length of any path is at most T_{∞} , we have $n \leq T_{\infty}$. Since each future thread has only one touch, there is only one deviation chain for a steal. Since there are $O(PT_{\infty})$ steals in expectation in a parallel execution [3], we can find in expectation $O(PT_{\infty})$ deviation chains and in total $O(PT_{\infty}^2)$ touches and right children of the corresponding forks involved, i.e., $O(PT_{\infty}^2)$ deviations involved.

Next, we prove by contradiction that no other touches or right children of forks can be deviations. suppose there is touch y, such that y or the right child of the corresponding fork of y is a deviation, and that y is not in any deviation chain. The right child of the corresponding fork of y can not be stolen, since by hypothesis y is not the first touch in any of those chains. Thus by Lemma 7, there is a touch y'by the future thread of y and y' is a deviation. Note that y's cannot be in any deviation chain either. Otherwise y and the deviation chain y' is in will form a deviation chain too, a contradiction. Therefore, by repeating such "tracing back", we will end up at a deviation touch that is not in any deviation chain and has no touches as its ancestors. Therefore, there are no touches by the future thread of this touch, and the right child of the corresponding future fork of it is not stolen, contradicting Lemma 7.

The upper bound on the expected number of additional cache misses follows from the result of Acar *et al.* [1] that the number of additional cache misses in a work-stealing parallel computation is bounded by the product of the number of deviations and the number of cache lines.

The bound on the number of deviations in Theorem 8 is tight, and the bound on the number of additional cache misses is tight within a factor of C, as shown below in Theorem 9.

THEOREM 9. If, at each fork node, the future thread is chosen to execute first, then a parallel execution with work stealing can incur $\Omega(PT_{\infty}^2)$ deviations and $\Omega(PT_{\infty}^2)$ addi-



Figure 5. Figure (c) shows a DAG on which work stealing can incur $\Omega(PT_{\infty}^2)$ deviations and $\Omega(PT_{\infty}^2)$ additional cache misses. It uses the DAGs in (a) and (b) as building blocks.

tional cache misses on a structured single-touch computation, while the sequential execution of this computation incurs $O(PT_{\infty}^2/C)$ cache misses.

Proof. Figure 5(c) shows a computation DAG on which we can get the bounds we want to prove. The DAG in Figure 5(c) uses the DAGs in Figures 5(a) and 5(b) as building blocks. Let's look at Figures 5(a) first. Suppose there are two processors p_1 and p_2 executing the DAG in Figure 5(a). Suppose p_2 executes v, pushes u_1 into its deque, and then falls asleep before executing w. Now suppose p_1 steals u_1 . For each $i \leq k$, s_i or Z_i cannot be executed since w has not been executed yet. Now p_1 takes a solo run, executing $u_1, x_1, Y_1, u_2, x_2, Y_2, \dots, x_k, Y_k$. After p_1 finishes, p_2 wakes up and executes the rest of the computation DAG. Note that the right (local) parent of s_i is executed before the left (future) parent of the touch is executed. Thus, by Lemma 4, each s_i is a deviation. Hence, this parallel execution incurs k deviations and the computation span of the computation is $\Theta(k)$.

Now let us consider a parallel execution of the computation in 5(b). For each $i \leq k$, the subgraph rooted at v_i is identical to the computation DAG in 5(a) (except that the last node of the subgraph has an extra edge pointing to a node of the main thread). Suppose there are three processors p_1 , p_2 , and p_3 working on the computation. Assume p_2 executes r_1 and v_1 and then falls asleep when it is about to execute w. p_3 now steals r_2 from p_2 and then falls asleep too. Then p_1 steals u_1 from p_2 's deque. Now p_1 and p_2 execute the subgraph rooted at v_1 in the same way they execute the DAG in 5(a). After p_1 and p_2 finish, p_3 wakes up, executes r_2 . Now these three processors start working on the subgraph rooted at r_3 in the same way they executed the graph rooted at r_1 . By repeating this, the execution ends up incurring k^2 deviations when all the k subgraphs are done. Since the length of the path $r_1, r_2, r_3...$ on the right-hand side is $\Theta(k)$, the computation span of the DAG is still $\Theta(k)$.

Now we construct the final computation DAG, as in Figure 5(c). The "top" nodes of the DAG are all forks, each spawning a future thread. Thus, they form a binary tree and the number of threads increase exponentially. The DAG stops creating new threads at level $\Theta(\log n)$ when it has n threads rooted at $S_1, S_2, ..., S_n$, respectively. For each i, the subgraph rooted at S_i is identical to the DAG in 5(b). Suppose there are 3n processors working on the computation. It is easy to see n processors can eventually get to $S_1, S_2, ..., S_n$. Suppose they all fall asleep immediately after executing the first two nodes of S_i (corresponding to r_1 and v_1 in Figure 5(b)) and then each two of the rest 2n free processors join to work on the subgraph rooted at S_i , in the same way p_1 , p_2 and p_3 did in Figure 5(b). Therefore, this execution will finally incur nk^2 deviations, while the computation span of the DAG is $\Theta(k + \log n)$. Therefore, by setting n = P/3, we get a parallel execution that incurs $\Omega(PT_{\infty}^2)$ deviations, when $\log P = O(k)$.

To get the bound on the number of additional cache misses, we just need to modify the graph in 5(a) as follows. For each $1 \leq i \leq k$, Y_i consists of a chain of C nodes $y_{i1}, y_{i2}, ..., y_{iC}$, where C is the number of cache lines. $y_{i1}, y_{i2}, \dots, y_{iC}$ access memory blocks m_1, m_2, \dots, m_C , respectively. Similarly, each Z_i consists of a chain of C nodes $z_{i1}, z_{i2}, ..., z_{iC}$. $z_{i1}, z_{i2}, ..., z_{iC}$ access memory blocks $m_C, m_{C-1}, ..., m_1$, respectively. all s_i access memory block m_C . For all $1 \le i \le k$, u_i and x_i both access memory block m_{C+1} . It does not matter which memory blocks the other nodes in the DAG access. For simplicity, assume the other nodes do not access memory. In the sequential execution, the single processor has $m_1, m_2, ..., m_C$ in its cache after executing v, w, u_1, x_1, Y_1, Z_1 and it has incurred (C + 1)cache misses so far. Now it executes u_2 and x_2 , incurring one cache miss at node u_2 by replacing m_C with m_{C+1} in its cache, since m_C is the least recently used block. When it executes Y_2 and Z_2 , it only incurs one cache miss by replacing m_{C+1} with m_C at the last node of Y_2 , y_{2C} . Likewise, it is easy to see that the sequential execution will only incur cache misses at nodes u_i and at the last nodes of Y_i for all *i*. Hence, the sequential execution incurs only O(k+C) cache misses. When $k = \Omega(C)$, the sequential execution incurs only O(k) cache misses.

Now consider the parallel execution by two processors p_1 and p_2 we described before. p_2 will incur only C cache misses, since Z_i and s_i only access m different blocks $m_1, m_2, ..., m_C$ and hence p_2 doesn't need to swap any memory blocks out of its cache. However, p_1 will incur lots of cache misses. After executing each Y_i , p_1 will execute u_{i+1} . Thus at u_{i+1} , one cache miss is incurred and m_1 is replaced with m_{C+1} , since m_1 is the least recently used block. Then, when p_1 executes the first node $y_{(i+1)1}$ in Y_i , m_1 is not in its cache. Since m_2 now becomes the

least recently used memory block in p_1 's cache, m_2 is replaced by m_1 . Thus, m_2 will not be in the cache when it is in need at $y_{(i+1)2}$. Therefore, it is obvious that p_1 will incur a cache miss at each node in Y_i and hence incur Ck cache misses in total in the entire execution. Note that the computation span of this modified DAG is $\Theta(Ck)$, since each Z_i now has C nodes. Therefore, the sequential execution and the parallel execution actually incur $\Theta(T_{\infty}/C)$ and $\Theta(T_{\infty})$, respectively, when $\log P = O(k)$. Therefore, if we use this modified DAG as the building blocks in 5(c), we will get the bound on the number of additional cache misses stated in the theorem.

5.2 Parent Thread First at Each Fork

In this section, we show that if the parent thread is always executed first at a fork, a work-stealing parallel execution of a structured single-touch computation can incur $\Omega(tT_{\infty})$ deviations and $\Omega(CtT_{\infty})$ additional cache misses, where t is the number of touches in the computation, while the corresponding sequential execution incurs only a small number of cache misses. This bound matches the upper bound for general, unstructured future-parallel computations [22]². This result, combined with the result in Section 5.1, shows that choosing the future threads at forks to execute first achieves better cache locality for work-stealing schedulers on structured single-touch computations.

THEOREM 10. If, at each fork, the parent thread is chosen to execute first, then a parallel execution with work stealing can incur $\Omega(tT_{\infty})$ deviations and $\Omega(CtT_{\infty})$ additional cache misses on a structured single-touch computation, while the sequential execution of this computation incurs only O(C + t) cache misses.

Proof. The final DAG we want to construct is in Figure 7. It uses the DAGs in Figure 6 as building blocks. We first describe how a single deviation at a touch u_3 can incur $\Omega(T_{\infty})$ deviations and $\Omega(CT_{\infty})$ additional cache misses in Figure 6(a). In order to get the bound we want to prove, here we follow the convention in [1, 22] to distinguish between touches and join nodes in the DAG. More specifically, y_i is a join node, not a touch, for each $1 \leq i \leq n$. For each $1 \leq i \leq n$, node x_i accesses memory block m_1 and y_i accesses memory block m_{C+1} . Z_i consists of a chain of C nodes $z_{i1}, z_{i2}, ..., z_{iC}$, accessing memory blocks $m_1, m_2, ..., m_C$ respectively. All the other nodes do not access memory. Assume in the sequential execution a single processor p_1 executes the entire DAG in Figure 6(a). Suppose initially the left (future) parent of u_3 has already been executed. p_1 starts executing the DAG at u_1 . Since p_1 always stays on the parent thread at a fork, it first pushes s into

² The bound on the expected number of deviations in [22] is actually $O(PT_{\infty} + tT_{\infty})$. However, as pointed out in [22], a simple fork-join computation can get $\Omega(PT_{\infty})$ deviations. Hence we focus on the more interesting part $\Omega(tT_{\infty})$.



Figure 6. DAGs used by Figure 7 as building blocks.

its deque, continues to execute u_2, u_3, u_4 , and then executes $x_1, x_2, ..., x_n$ while pushing $z_{11}, z_{21}, ..., z_{n1}$ into its deque. Since v cannot be executed due to s, p_1 pops z_{n1} out of its deque and executes the nodes in Z_n . Then p_1 executes all the nodes in $Z_{n-1}, Z_{n-2}, ..., Z_1$, in this order. So far p_1 has only incurred C cache misses, since all the nodes it has executed only access memory blocks $m_1, ..., m_C$ and hence it did not need to swap any memory blocks out of its cache. Now p_1 executes s, v and then $y_n, y_{n-1}, ..., y_1$, incurring only one more cache miss by replacing m_1 with m_{C+1} at y_n . Hence, this execution incurs O(C) cache misses in total. Note that the left parent of y_i is executed before the right parent y_i for all i.

Now assume in another execution by p_1 , the left parent of u_3 is in p_1 's deque when p_1 starts executing u_1 . Thus, u_3 is a deviation with respect to the previous execution. Since u_3 is not ready to execute after p_1 executes u_2 , p_1 pops s out of its deque to execute. Since v is not ready, p_1 now pops the left parent of u_3 to execute and then executes $u_3, u_4, x_1, x_2, ..., x_n, v$. Now p_1 pops z_{n1} out and executes all the nodes Z_n . Note that y_n is now ready to execute and the memory blocks in p_1 's cache at the moment are $m_1, m_2, ..., m_C$. Now p_1 executes y_n , replacing the least recently used block m_1 with m_{C+1} . p_1 then pops $z_{(n-1)1}$ out and executes all the nodes $z_{(n-1)1}, z_{(n-1)2}, ..., z_{(n-1)}C$ in Z_{n-1} one by one. When p_1 executes $z_{(n-1)1}$, it replaces m_2 with m_1 , and when it executes $z_{(n-1)2}$, it replaces m_3 with m_2 , and so on. The same thing happens to all Z_i and y_i . Thus, p_1 will incur a cache miss at every node afterwards, ending up with $\Omega(Cn)$ cache misses in total. Note that the computation span of this DAG is $T_{\infty} = \Theta(C + n)$. Thus, this execution with a deviation at u_3 incurs $\Omega(CT_{\infty})$ cache misses when $n = \Omega(C)$. Moreover, all y_i are deviations and hence this execution incurs $\Omega(T_{\infty})$ deviations.

Now let us see how a single steal at the beginning of a thread results in $\Omega(T_{\infty})$ deviations and $\Omega(CT_{\infty})$ cache misses at the end of the thread. Figure 6(b) presents such a computation. First we consider the sequential execution by a processor p_1 . It is easy to check p_1 executes nodes in the order $r, u_1, w_1, s_2, s_1, v_1, u_2, w_2, v_2, u_3, w_3, s_4, s_3, v_3, u_4, \dots$ The key observation is that w_i is executed before s_i is executed for any odd numbered i while w_i is executed after s_i is executed for any even numbered *i*. This statement can be proved by induction. Obviously, this holds for i = 1 and i = 2, as we showed before. Now suppose this fact holds for all 1, 2, ..., i, for some even numbered *i*. Now suppose p_1 executes u_{i-1} . Then p_1 pushes s_i into its deque and executes w_{i-1} . Since we know w_{i-1} should be executed before s_{i-1}, s_{i-1} has not been executed yet. Moreover, s_{i-1} must already be in the deque before s_i was pushed into the deque, since s_{i-1} 's parent u_{i-2} has been executed and s_{i-1} is ready to execute. Now p_1 pops s_i to execute. Since v_i is not ready to execute, p_1 pops s_{i-1} and then executes s_{i-1}, v_{i-1}, u_i , and pushes s_{i+1} into the deque. Now p_1 continues to execute w_i, v_i, u_{i+1} and pushes s_{i+1} into its deque. Then p_i executes w_{i+1} and pops s_{i+2} , since v_{i+1} is not ready due to s_{i+1} . Now we can see w_{i+1} and s_{i+2} have been executed, but s_{i+1} and w_{i+2} not yet. Therefore, w_{i+1} is executed before s_{i+1} and w_{i+2} is executed after s_{i+2} . That is, the statement holds for i + 1 and i + 2, and hence the proof.

The subgraph rooted at u_k is identical to the graph in Figure 6(a), with v_k corresponding to u_3 in Figure 6(a). Therefore, if k is an even number, v_k 's left parent has been executed when w_k is executed and hence the sequential execution will incur only O(C) cache misses on the subgraph rooted at u_k .

Now consider a parallel execution of the DAG in 6(b) by two processors p_1 and p_2 . p_1 executes r and pushes s_1 into its deque. p_2 immediately steals s_1 and executes it. Then p_2 falls asleep, leaving p_1 executing the rest of the DAG alone. It is easy to check p_1 will execute the nodes in the DAG in the order $u_1, w_1, v_1, u_2, w_2, s_3, s_2, v_2, u_3, w_3, v_3, u_4, s_4, ...$ It can be proved by induction that w_i is executed after s_i is executed for any odd numbered i while w_i is executed before s_i is executed for any even numbered i, which is opposite to the order in the sequential execution. The induction proof is similar to that of the previous observation in the sequential execution, so we omit the proof here. If k is an even number, w_k will be executed before the left parent of v_k and hence this execution will incur $\Omega(T_{\infty})$ deviations and $\Omega(CT_{\infty})$ cache misses when $n = \Omega(C)$ and $n = \Omega(k)$.

The final DAG we want to construct is in Figure 7. This is actually a generalization of the DAG in Figure 6(b). Instead of having one fork u_i before each touch v_i , it has two forks u_i and x_i , for each *i*. After each touch v_i , the thread at y_i splits into two identical branches, touching the futures spawned at u_i and x_i , respectively. In this figure, we only depict the right branch and omit the identical left branch. As we can see, the right branch later has a touch v_{i+1} touching the future s_{i+1} spawned at the fork x_i . If we only look at the thread on the right-hand side, it is essentially the same as the DAG in Figure6(b). The sequential execution of this DAG by p_1 is similar to that in Figure6(b). The only difference is that p_1 at each y_i will execute the right branch and then the left branch recursively. Similarly, it can be proved by induction that w_i is executed before s_i is executed for any odd numbered i while w_i is executed after s_i is executed for any even numbered *i*. Obviously this also holds for each left branch. Now consider a parallel execution by two processors p_1 and p_2 . p_1 first executes r. p_2 immediately steals s_1 and executes it and then sleeps forever. Now p_1 makes a solo run to execute the rest of the DAG. Again, we can prove by induction that w_i is executed after s_i is executed for any odd numbered i while w_i is executed before s_i is executed for any even numbered *i*, which is opposite to the order in the sequential execution. The proofs of the two observations above are a little more complicated than those for the DAG in Figure6(b), but the ideas are essentially the same. Due to space limits, we again omit the two induction proofs.

By splitting each thread into two after each y_i , the number of branches in the DAG increases exponentially. Suppose there are t touches in the DAG. Thus, there are eventually $\Theta(t)$ branches and the height of this structure is $\Theta(\log t)$. At the end of each branch is a subgraph identical to the DAG in Figure 6(a). Therefore, the parallel execution with only one steal can end up incurring $\Theta(tn)$ deviations and $\Theta(Ctn)$ cache misses. The sequential execution incurs only $\Theta(C+t)$ cache misses, since the sequential execution will incur only 2 cache misses by swapping m_{C+1} in and out at each branch, after it incurs C cache misses to load $m_1, m_2, ..., m_C$ at the first branch. hence, when $n = \Omega(\log t)$ and $n = \Omega(C)$, we get the bound stated in the theorem.

6. Other Kinds of Structured Computations

It is natural to ask whether other kinds of structured computations can also achieve relatively good cache locality. We now consider two alternative kinds of restrictions.

6.1 Structured Local-Touch Computations

In this section, we prove that work-stealing parallel executions of structured local-touch computations also have rela-



Figure 7. A DAG on which work stealing can incur $\Omega(tT_{\infty})$ deviations and $\Omega(CtT_{\infty})$ if it chooses parents threads to execute first at forks. This example uses the DAGs in Figure 6 as building blocks.

tively good cache locality, if the future thread is chosen to execute first at each fork. This result, combined with Theorems 8 and 10, implies that work-stealing schedulers for structured computations are likely better off choosing future threads to execute first at forks.

LEMMA 11. In the sequential execution of a structured local-touch computation where the future thread at a fork is always chosen to execute first, any touch x's future parent is executed before x's local parent, and the right child of any fork v immediately follows the last node of the future thread spawned at v, i.e., the future parent of the last touch of the future thread.

The proof is omitted because it is almost identical to the proof of Lemma 4.

THEOREM 12. If the future thread at a fork is always chosen to execute first, then a parallel execution with work stealing incurs $O(PT_{\infty}^2)$ deviations and $O(CPT_{\infty}^2)$ additional cache misses in expectation on a structured local-touch computation.

Proof. Let v be a fork that spawns a future thread t. Now we consider a parallel execution. Let p be a processor that executes v and pushes the right child of v into its deque. Suppose the right child of v is not stolen. Now consider the

subgraph G' consisting of t and its descendant threads. Note that G' itself is a structured computation DAG with local touch constraint. Now p starts executing G'.

According to local touch constraint, the only nodes outside G' that connect to the nodes in G' are v and the touches of t, and c is the only node outside G' that the nodes in G'depend on. Now v has been executed and the touches of tare not ready to execute due to the right child of v. Hence, p is able to make a sequential execution on G' without waiting for any node outside to be done or jumping to a node outside, as long as no one steals a node in G' from p's deque. Since we assume the right child of v will not be stolen and any nodes in G' can only be pushed into p's deque below v, no nodes in G' can be stolen. Hence, G' will be executed by a sequential execution by p. Therefore, there are no deviations in G'. After p executed the last node in G', which is the last node in t, p pops the right child of v to execute. Hence, the right child of v cannot be a deviation either, if it is not stolen. That is, those nodes can be deviations only if the right child of v is stolen. Since there are in expectation $O(PT_{\infty})$ steals in an parallel execution and each future thread has at most T_∞ touches, the expected number of deviations is bounded by $O(PT_{\infty}^2)$ and the expected number of additional touches is bounded by $O(CPT_{\infty}^2)$.

6.2 Structured Computations with Super Final Nodes

As discussed in Section 4, in languages such as Java, the program's main thread typically releases all resources at the end of an execution. To model this structure, we add an edge from the last node of each thread to the final node of the computation DAG. Thus, the final node becomes the only node with in-degree greater than 2. Since the final node is always the last to execute, simply adding those edges pointing to the final node into a DAG will not change the execution order of the nodes in the DAG. It is easy to see that having such a super node will not change the upper bound on the cache overheads of the work-stealing parallel executions of a structured computation.

For structured computations with super final nodes, it also makes sense to relax slightly the single-touch constraint.

DEFINITION 13. A structured single-touch computation with a super final node is one where each future thread t at a fork v has at least one and at most two touches, a descendant of v's right child and the super final node.

In such a computation, a future thread can have the super final node as its only touch. This structure corresponds to a program where one thread forks another thread to accomplish a side-effect instead of computing a value. The parent thread never touches the resulting future, but the computation as a whole cannot terminate until the forked thread completes its work. Now we prove that the parallel executions of structured single-touch computations with super final nodes also have relatively low cache overheads.

LEMMA 14. In the sequential execution of a structured single-touch computation with a super final node, where the future thread at a fork is always chosen to execute first, any touch x's future parent is executed before x's local parent, and the right child of any fork v immediately follows the last node of the future thread spawned at v, i.e., the future parent of the last touch of the future thread.

LEMMA 15. Let t be the future thread at a fork v in a structured single-touch computation with a super final node. If a touch of t or v's right child u is a deviation, then either u is stolen or there is a touch by t which is a deviation.

The proofs of these two lemmas are omitted because they are almost identical to the proofs of Lemma 4 and Lemma 7, respectively.

THEOREM 16. If, at each fork, the future thread is chosen to execute first, then a parallel execution with work stealing incurs $O(PT_{\infty}^2)$ deviations and $O(CPT_{\infty}^2)$ additional cache misses in expectation on a structured single-touch computation with a super final node.

Proof. The proof is similar to that of Theorem 8. The only difference is that if a touch by a thread t is a deviation, now the two touches of t can both be deviations, which could be a trouble for constructing the deviation chains. Fortunately, one of these two touches is the super final node, which is always the last node to execute and hence will not make the touches of other threads become deviations. Therefore, we can still get a unique deviation chain starting from a steal and hence the proof of Theorem 8 still applies here.

7. Conclusions

We have focused primarily on structured single-touch computations, in which futures are used in a restricted way. We saw that for such computations, a parallel execution by a work-stealing scheduler that runs future threads first can incur at most $O(CPT_{\infty}^2)$ cache misses more than the corresponding sequential execution, a substantially better cache locality than the $\Omega(CPT_{\infty} + CtT_{\infty})$ worst-case additional cache misses possible with unstructured use of futures. Although we cannot prove this claim formally, we think that these restrictions correspond to program structures that would occur naturally anyway in many (but not all) parallel programs that use futures. For example, Cilk [9] programs are structured single-touch computations, and that Belloch and Reid-Miller [7] observe that the single-touch requirement substantially simplifies implementations.

We also considered some alternative restrictions on future use, such as structured local-touch computations, and structured computations with super final nodes, that also incur a relatively low cache-locality penalty. In terms of future work, we think it would be promising to investigate how far these restrictions can be weakened or modified while still avoiding a high cache-locality penalty. We would also like to understand how these observations can be exploited by future compilers and run-time systems.

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